SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Aichele David				r Name and Ticker of stis Technolog	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) C/O AKOUSTIS TECHNOLOGIE		(Middle) IES, INC.		of Earliest Transacti			x	Director Officer (give title below) EVP of Busine	10% C Other belowj ess Developme	(specify		
9805 NORT (Street) HUNTERS (City)	THCROSS CENTER VILLE NC (State)	CT, SUITE A 28078 (Zip)	4. lf Am	endment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Indiv X	idual or Joint/Group F Form filed by One Form filed by More	Reporting Person	,		
		Table I - No	n-Derivative	Securities Acq	uired, Disp	osed of, or Beneficia	ally Ow	ned				
1 Title of Sec	urity (Instr 3)		2 Transaction	2A Deemed	3	4 Securities Acquired (A) o	r	5 Amount of	6 Ownership	7 Nature of		

1. Title of Security (Instr. 3)	2. Iransaction 2A. Deemed Date Execution Date, (Month/Day/Year) if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	11/23/2022		S ⁽¹⁾		1,850	D	\$3.52 ⁽²⁾	217,467	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		(0.9.	, puto,											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Security (Instr. 3)			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. These transactions were effected pursuant to a Rule 10b5-1 trading plan.

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.45 to \$3.56, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

/s/David Aichele by Andrew Wright, attorney-in-fact

<u>11/28/2022</u>

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.