SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] <u>McGuire James Michael</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Akoustis Technologies, Inc.</u> [AKTS] | | | | | | | | | ationship of F all applicab Director | | Person | (s) to Issuer 10% Ov | vner |
|--|--|--|--|--------------------------|--|---|--|------|---|--------|---|---------------|---|---|---|---|---|---|---------------------------------------|
| (Last) | (First) | ` | iddle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/10/2022 | | | | | | | | | Officer (give title below) | | | Other (specify below) | |
| C/O AKOUSTIS TECHNOLOGIES, INC 9805 NORTHCROSS CENTER CT, SUITE A | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | |
| (Street) HUNTERSVILLE NC 28078 (City) (State) (Zip) | | | | | | | | | | | | | | | Form file | d by More | than C | ne Reportin | g Person |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| Date | | | | Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | | ties Acquired (A) or I Of (D) (Instr. 3, 4 and | | | d 5) 5. Amount Securities Beneficiall Following Transactio | | Form | mership : Direct (D) lirect (I) . 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | (Instr. 3 and 4) | | | | (1150.4) | |
| Common Stock 11/1 | | | | | 10/2022 | | | | Α | | 40,76 | 6 | Α | \$0.00 | 98,032 | | | D | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day/ | ate, | Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercis Expiration Date (Month/Day/Ye | | te | Secu Deri | 7. Title and Amou Securities Underly Derivative Securit (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transactior | e s illy g | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) (D) | (D) | Date Exercisa | able | Expiration | | Amount or Number of Shares | | (Instr. 4) | una) | 1(3) | | |

Explanation of Responses:

Remarks:

/s/James Michael McGuire by Andrew Wright, attorney-in-fact

Date

11/14/2022

** Signature of Reporting Person Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.